

Markedness effects in the history of Samoan thematic consonants

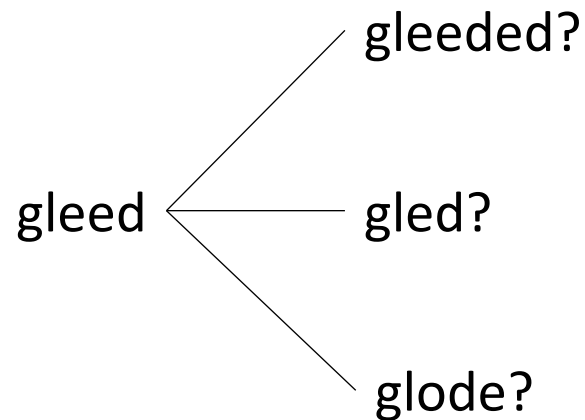
Jennifer Kuo, Cornell University

AFLA 30, Lund University



Background

- Paradigms with conflicting data patterns can be difficult to learn



PRESENT

laugh

dance

jump

bleed [blid]

ride [raid]

go

PAST

laugh**ed**

danc**ed**

jump**ed**

ble**d** [blɛd]

ro**d**e [roud]

went

Background

- Paradigms with conflicting data patterns can be difficult to learn
-resulting in learning errors.

go → **goed*

- **Reanalysis:** when such errors are adopted into speech community, resulting in a type of language change.

help/help **ed** → help/help **ed** (c1300)
dive, dive **d** → dive, dive **o** (c1800)

| PRESENT | PAST |
|--------------|-------------------------------|
| laugh | laugh ed |
| dance | danc ed |
| jump | jump ed |
| bleed [blid] | ble d [bl ɛ d] |
| ride [raid] | ro d e [ro ʊ d] |
| go | went |

Background

- **Question:** How do learners decide the direction of reanalysis?

Frequency-matching: apply patterns at the proportion in which they occur in the paradigm (e.g. Eddington 1996; Coleman and Pierrehumbert 1997; Zuraw 2000; Ernestus & Baayen 2003; Albright & Hayes 2003; Hayes et al. 2009; Jun & Lee 2007, etc)

→ Existing **models of reanalysis** are frequency-matching

Ex: Minimal Generalization Learner (Albright & Hayes 2003)

Analogical models (Nosofsky 1990; Hare & Elman 1995)

Background

- **Question:** How do learners decide the direction of reanalysis?

Frequency-matching: apply patterns at the proportion in which they occur in the paradigm (e.g. Eddington 1996; Coleman and Pierrehumbert 1997; Zuraw 2000; Ernestus & Baayen 2003; Albright & Hayes 2003; Hayes et al. 2009; Jun & Lee 2007, etc)

+

Markedness bias: preference for surface forms that are less marked (Jarosz 2006)

...where markedness effects are restricted to those already **active** in language-specific stem phonotactics

Why “active” markedness?

- Restricting bias to “active” markedness predicts a strong connection between phonotactics and morphophonology

English ex: ***[ʃs]**ak] in roots

 *[dɪ**ʃ-s]** ‘dish + PL’ in morphophonology

- Support from:

- Acquisition: phonotactics before alternation learning (Jarosz 2006; Tesar & Prince 2007)

- Experiments (Pater & Tessier 2005; Chong 2021)

Goals of the talk

- Show that in Samoan, reanalysis is driven by both:
 - Frequency-matching
 - the avoidance of marked outputs
- Results are confirmed using a constraint-based model of reanalysis.

Background: Samoan

- Oceanic, Polynesian
- (C)V(V) syllable structure
- Vowels: /a, e, i, o, u/ (contrastive in length)

Consonant Inventory

| LABIAL | ALVEOLAR | VELAR | GLOTTAL |
|--------|----------|-------|---------|
| p | t | (k) | ʔ |
| f v | s | | (h) |
| m | n | ŋ | |
| | l (r) | | |

Background: Samoan

- **Thematic consonant alternations ($\emptyset \sim C$):** under suffixation, a consonant of unpredictable quality may surface
- **Example:** ergative suffix allomorphy

| ERG. | STEM | SUFFIXED | GLOSS |
|------|--------|------------|--------------------|
| a | lele | lele-a | 'to take' |
| ia | fana | fana-ia | 'to shoot' |
| ina | iloa | iloa-ina | 'to see, perceive' |
| tia | pulu | pulu-tia | 'to plug up' |
| sia | laka | laka-sia | 'to step over' |
| ŋia | tutu | tutu-ŋia | 'to light a fire' |
| fia | utu | utu-fia | 'to draw water' |
| mia | inu | inu-mia | 'to drink' |
| lia | tautau | tautau-lia | 'to hang up' |
| na | aŋi | aŋi-na | 'to blow' |

Background: Samoan

/a, ia, ina/: vowel-initial allomorphs

- Oceanic language of the Polynesian subgroup
- **Thematic consonant alternations ($\emptyset \sim C$):** under suffixation, a consonant of unpredictable quality may surface

| ERG. | STEM | SUFFIXED | GLOSS |
|------|--------|------------|--------------------|
| a | lele | lele-a | 'to take' |
| ia | fana | fana-ia | 'to shoot' |
| ina | iloa | iloa-ina | 'to see, perceive' |
| tia | pulu | pulu-tia | 'to plug up' |
| sia | laka | laka-sia | 'to step over' |
| ŋia | tutu | tutu-ŋia | 'to light a fire' |
| fia | utu | utu-fia | 'to draw water' |
| mia | inu | inu-mia | 'to drink' |
| lia | tautau | tautau-lia | 'to hang up' |
| na | aŋi | aŋi-na | 'to blow' |

Background: Samoan

- Oceanic language of the Polynesian subgroup
- **Thematic consonant alternations ($\emptyset \sim C$):** under suffixation, a consonant of unpredictable quality may surface

/Cia/: consonant-initial allomorphs



| ERG. | STEM | SUFFIXED | GLOSS |
|------------|--------|--------------------|--------------------|
| a | lele | lele-a | 'to take' |
| ia | fana | fana-ia | 'to shoot' |
| ina | iloa | iloa-ina | 'to see, perceive' |
| tia | pulu | pulu- tia | 'to plug up' |
| sia | laka | laka- sia | 'to step over' |
| ŋia | tutu | tutu- ŋia | 'to light a fire' |
| fia | utu | utu- fia | 'to draw water' |
| mia | inu | inu- mia | 'to drink' |
| lia | tautau | tautau- lia | 'to hang up' |
| na | aŋi | aŋi- na | 'to blow' |

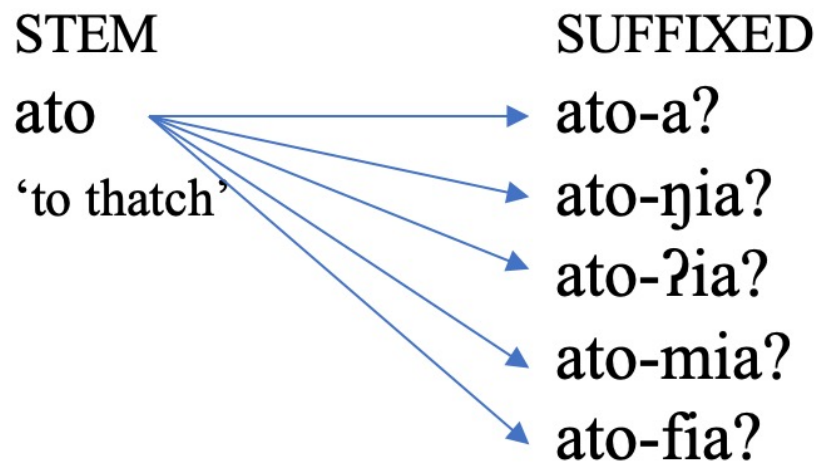
Development of $\emptyset \sim C$ alternations

| | 'TO DRINK' | | 'TO PLUG UP' | | 'TO RUB' | |
|--|------------|----------|--------------|----------|----------|--------------------|
| | STEM | SUFFIXED | STEM | SUFFIXED | STEM | SUFFIXED |
| Proto-Oceanic (POC) $i \rightarrow \emptyset / _ a$ | inum | inum+ia | pulut | pulut+ia | pulu | pulu+ia pulu+ia |
| Proto-Polynesian (PPn) $C \rightarrow \emptyset / _ \#$ | inu | inumia | pulu | pulutia | - | |
| Samoan | inu | inu+mia | pulu | pulu+tia | pulu | pulu+a |

Deletion of final consonants in PPn made suffix allomorphy unpredictable

Background: reanalyses in Samoan

- Conflicting patterns make learning difficult



...Leading to reanalyses

| POC | Expected | Actual | Reanalysis |
|--------|----------|---------|------------|
| *qatop | ato-fia | ato-a | f → ∅ |
| *akot | aʔo-tia | aʔo-ina | t → ∅ |
| *qulin | uli-na | uli-ŋia | n → ŋ |

Question: What are the factors driving reanalysis of thematic consonants in Samoan?

Preview of results

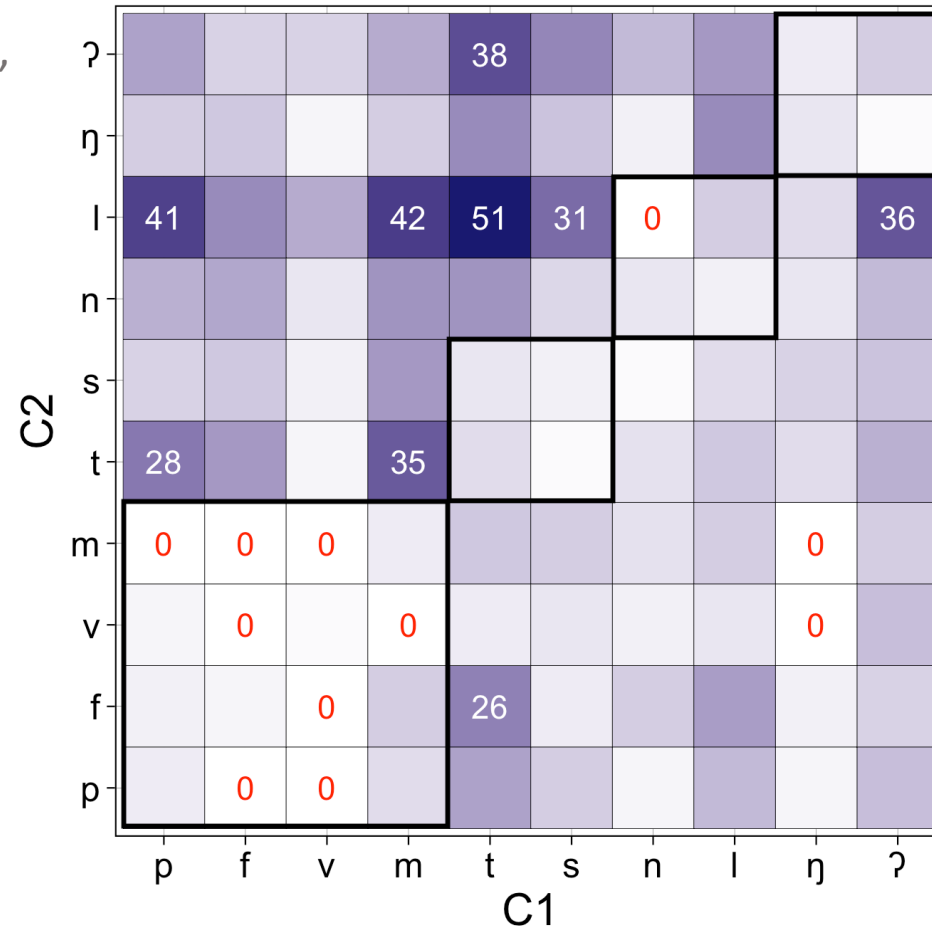
- Reanalysis is generally towards the more frequent allomorphs
 - But **phonotactically marked** outputs are more likely to be reanalyzed
 - How so? Samoan roots are subject to transvocalic OCP-place effects
 - e.g. *[**p**ufa] (***p...f**)

OCP-place effects in Samoan

- **Data:** 1498 roots (Alderete & Bradshaw 2013, originally from Milner 1966)

| CONSTRAINT | EXAMPLE |
|----------------------|----------------------------|
| OCP-LABIAL | m apa, m afu |
| OCP-CORONAL-SONORANT | n anu, l anu |
| OCP-DORSAL | ŋ aʔo, ʔ aʔe |

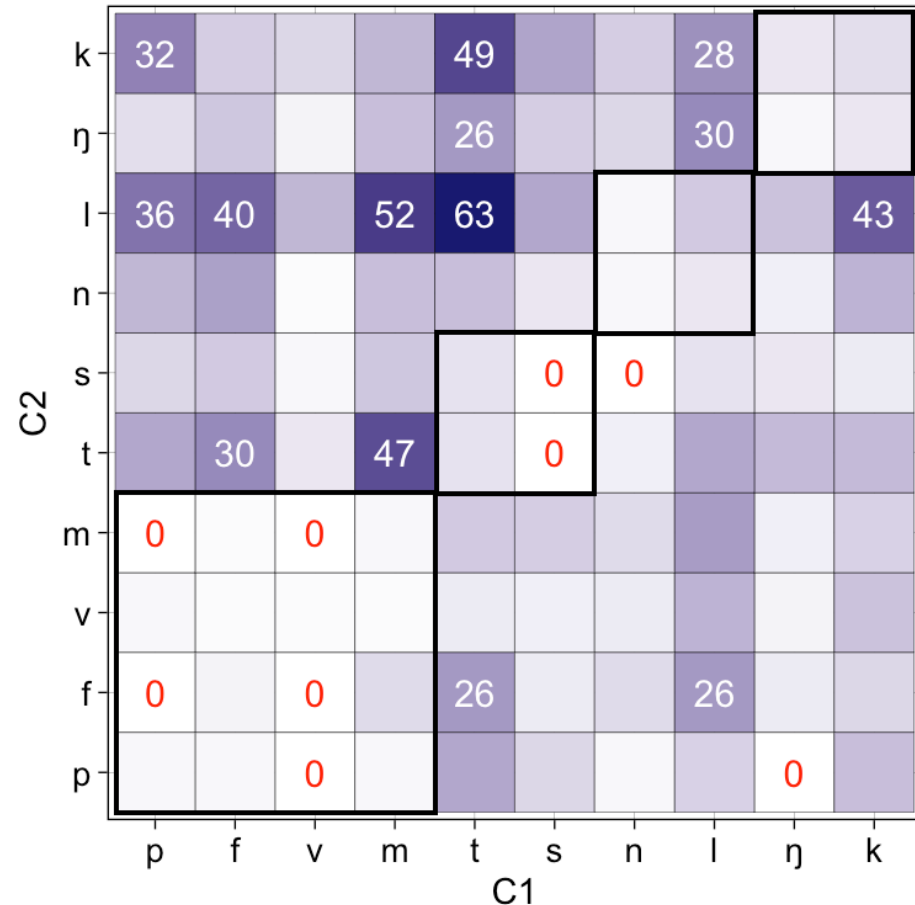
- Active OCP-place constraints based on a Maxent phonotactic model (Wilson & Obdeyn 2009)
- PPn *k > Samoan [ʔ], but [ŋ] and [ʔ] still behave as a natural class.



OCP-place in Proto-Polynesian

- **Data:** Protoforms from the Polynesian Lexicon Project (POLLEX; Greenhill & Clark 2011).
- Same patterns found in PPn

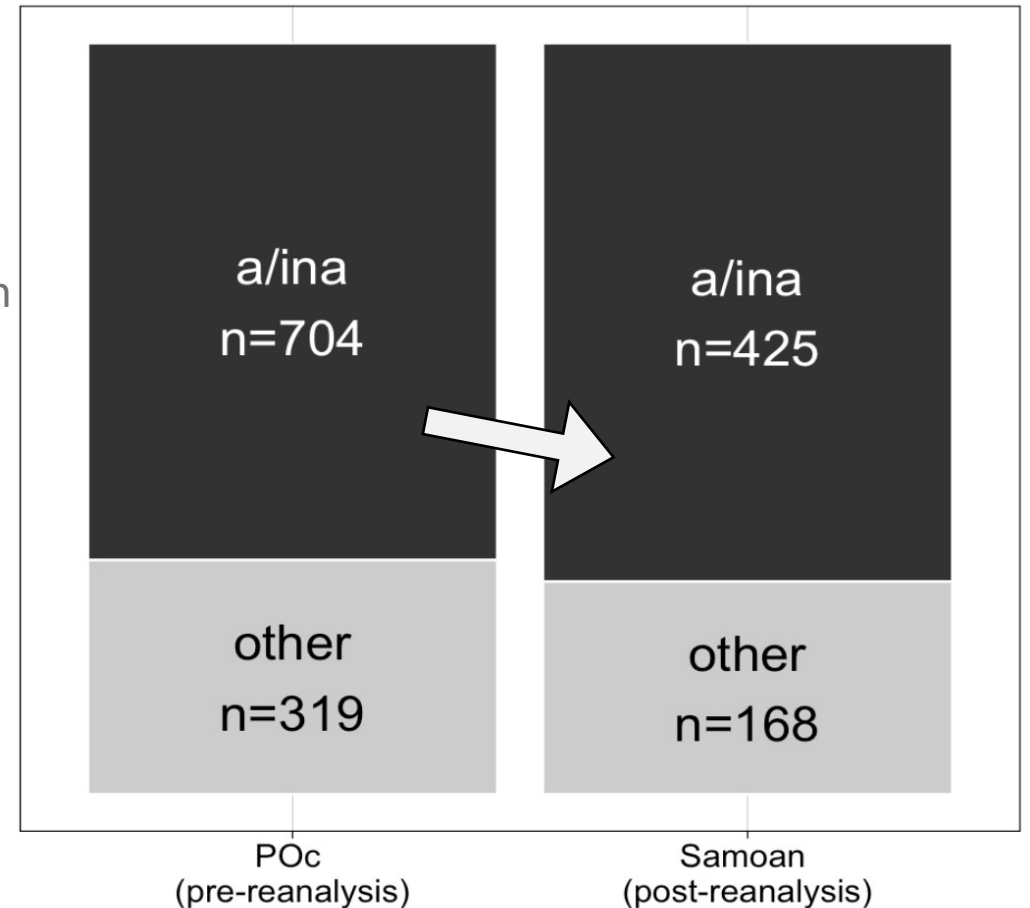
| CONSTRAINT | EXAMPLE |
|----------------------|--------------------------------|
| OCP-LABIAL | * m apa, * m afu |
| OCP-CORONAL-SONORANT | * n anu, * l anu |
| OCP-DORSAL | * ŋ ako, * k ake |



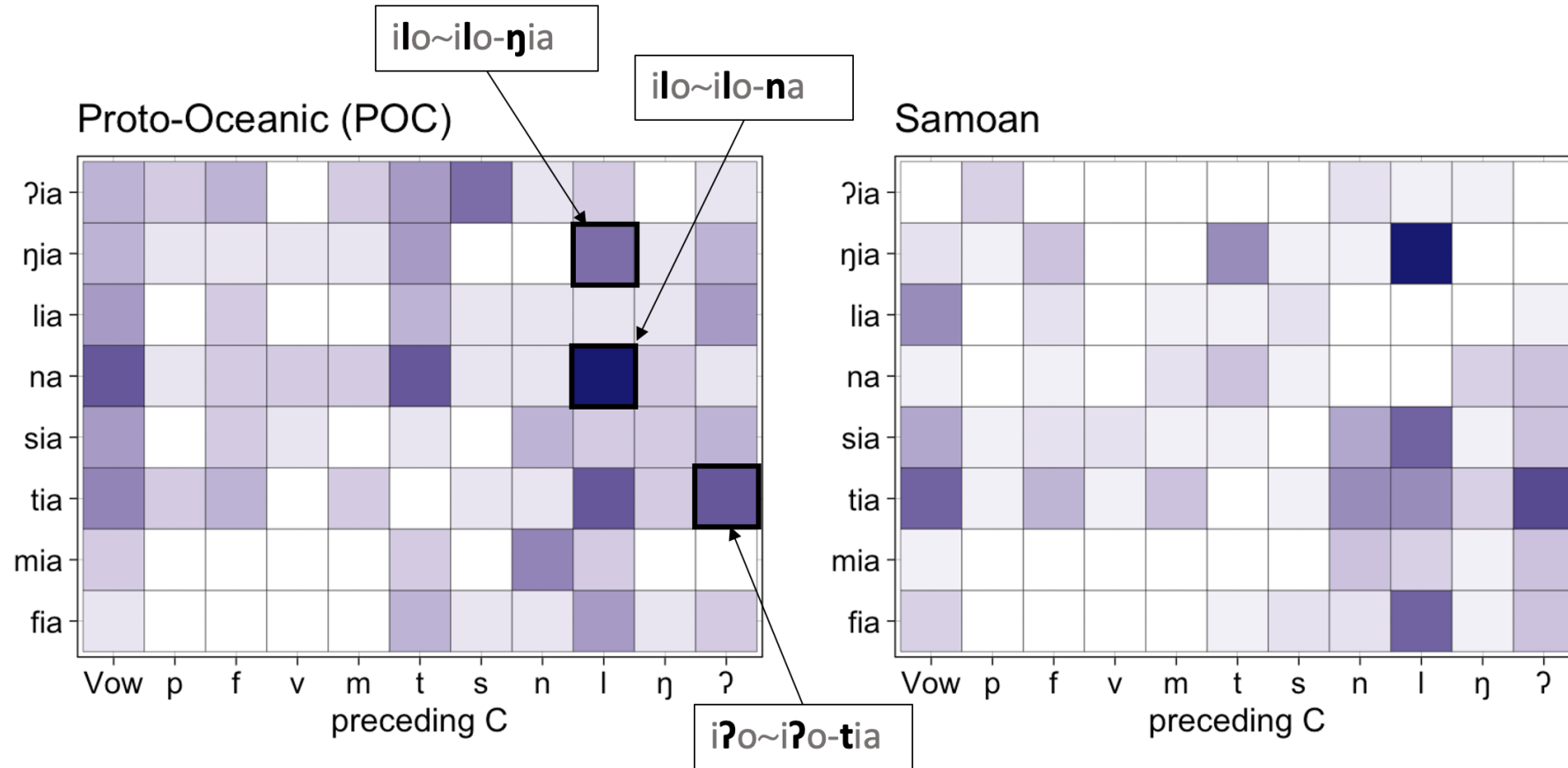
Reanalysis in Samoan

- **Data:** comparison of POC and Samoan
 - POC: 1023 protoforms, Austronesian Comparative Dictionary (Blust, Trussel, & Smith 2020)
 - Samoan: 583 stem-suffix pairs (Milner 1966)

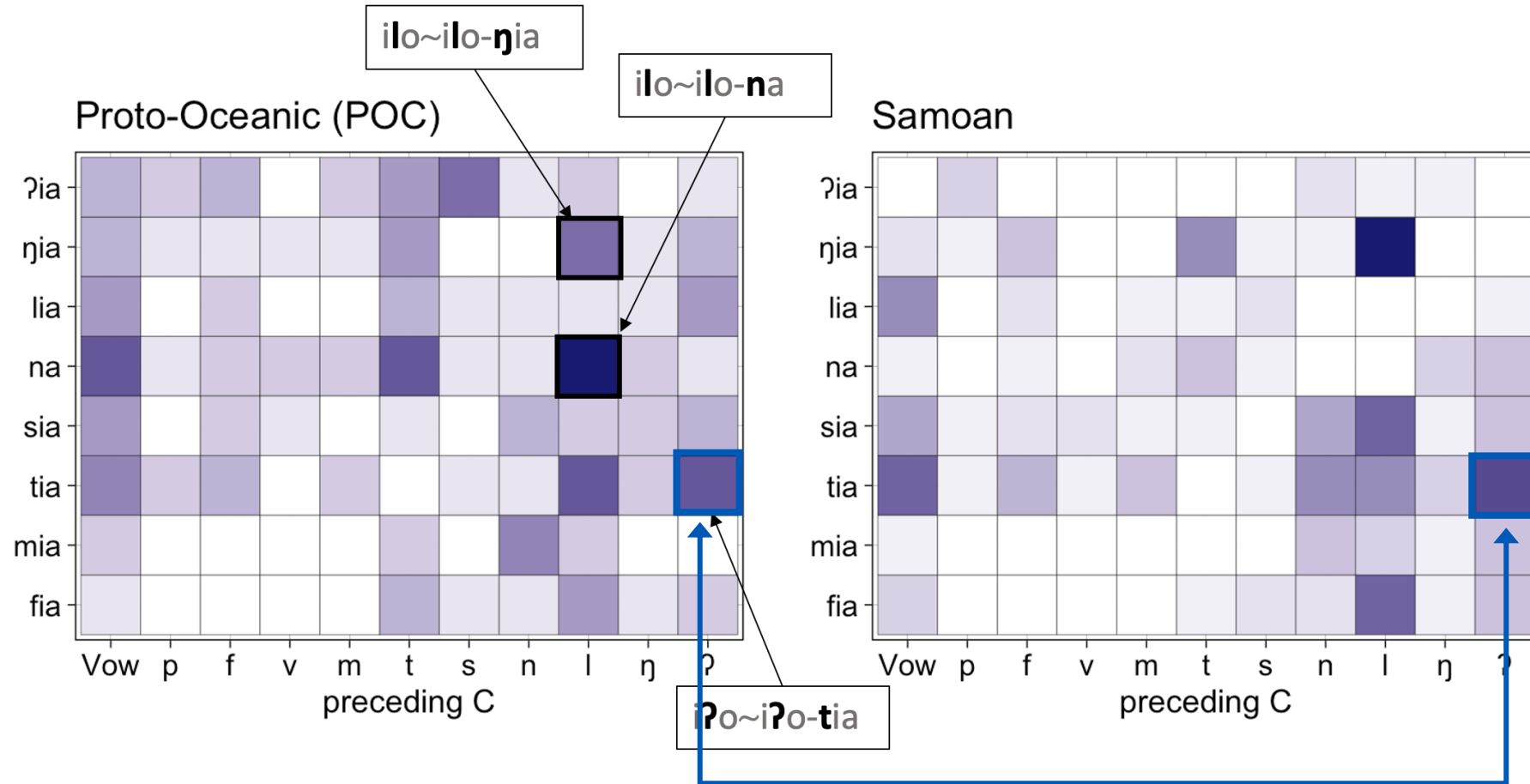
Reanalysis is generally towards the **most frequent allomorphs**



Cia allomorphs by identity of preceding C

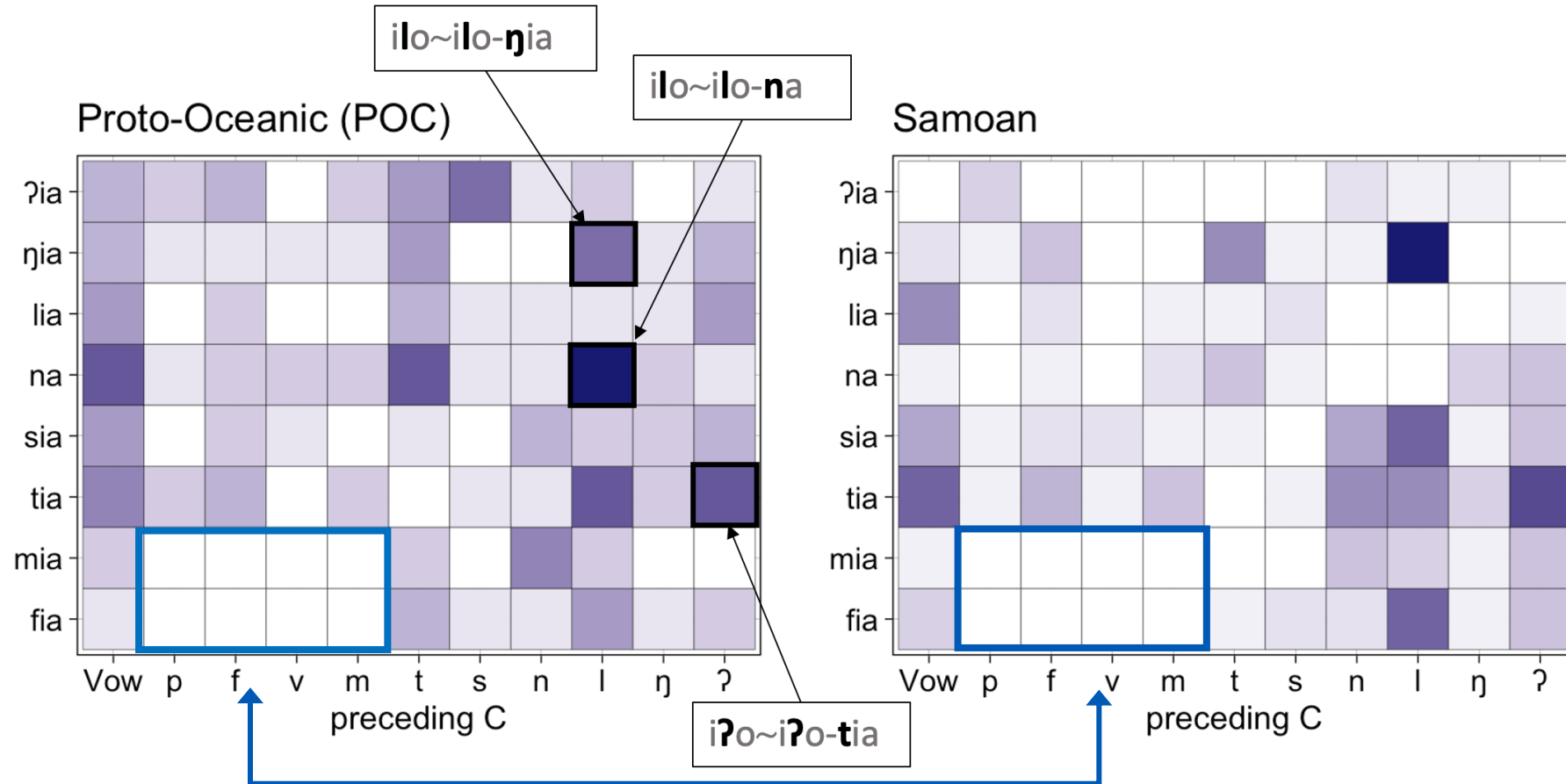


Cia allomorphs by identity of preceding C



[i?o-tia] is frequent in both POC and Samoan.

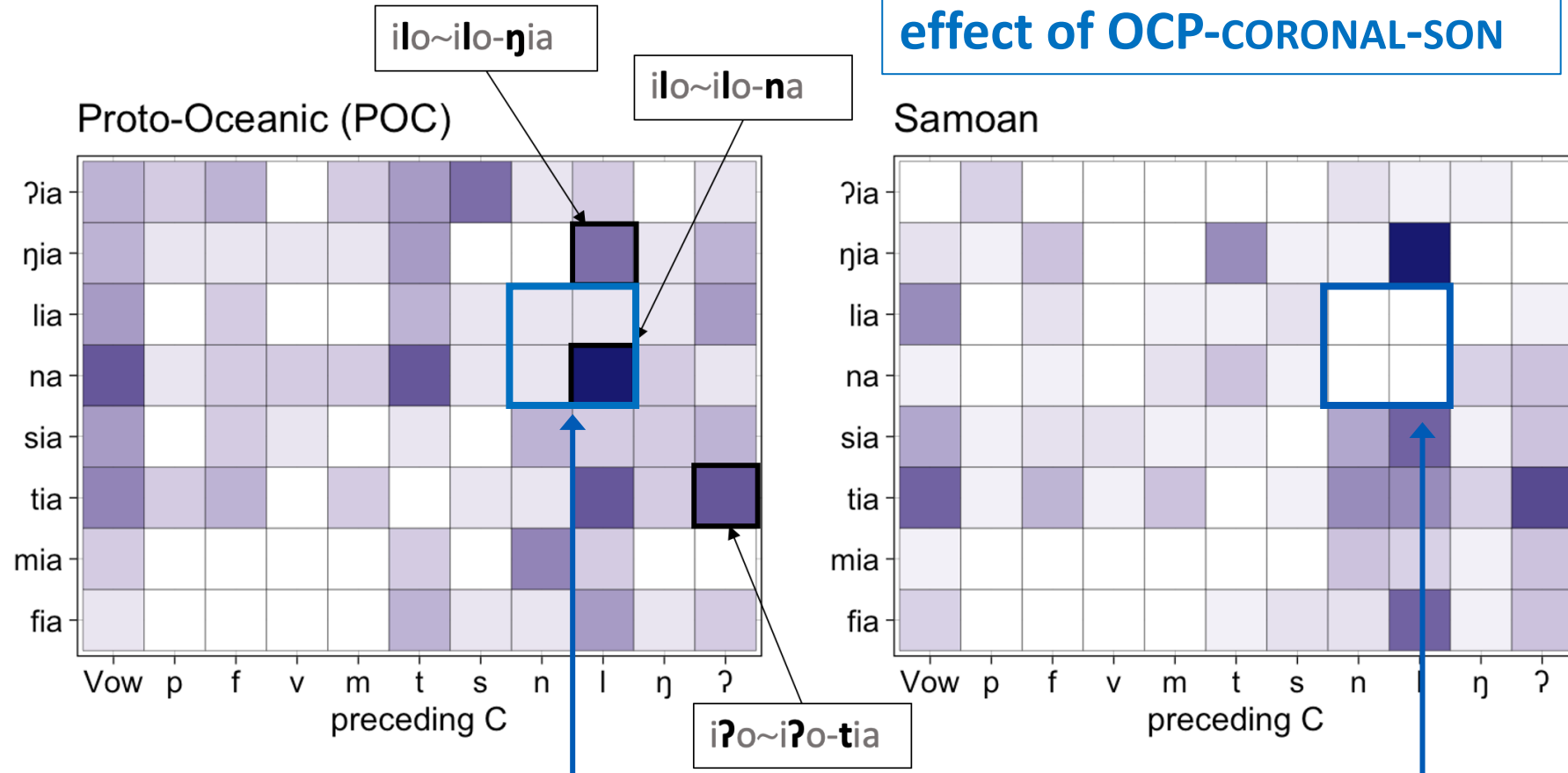
Cia allomorphs by identity of preceding C



[i{p,f,v,m}o-mia] is not observed in both POC and Samoan.


Cia allomorphs by identity of preceding C

Proposal:
effect of OCP-CORONAL-SON

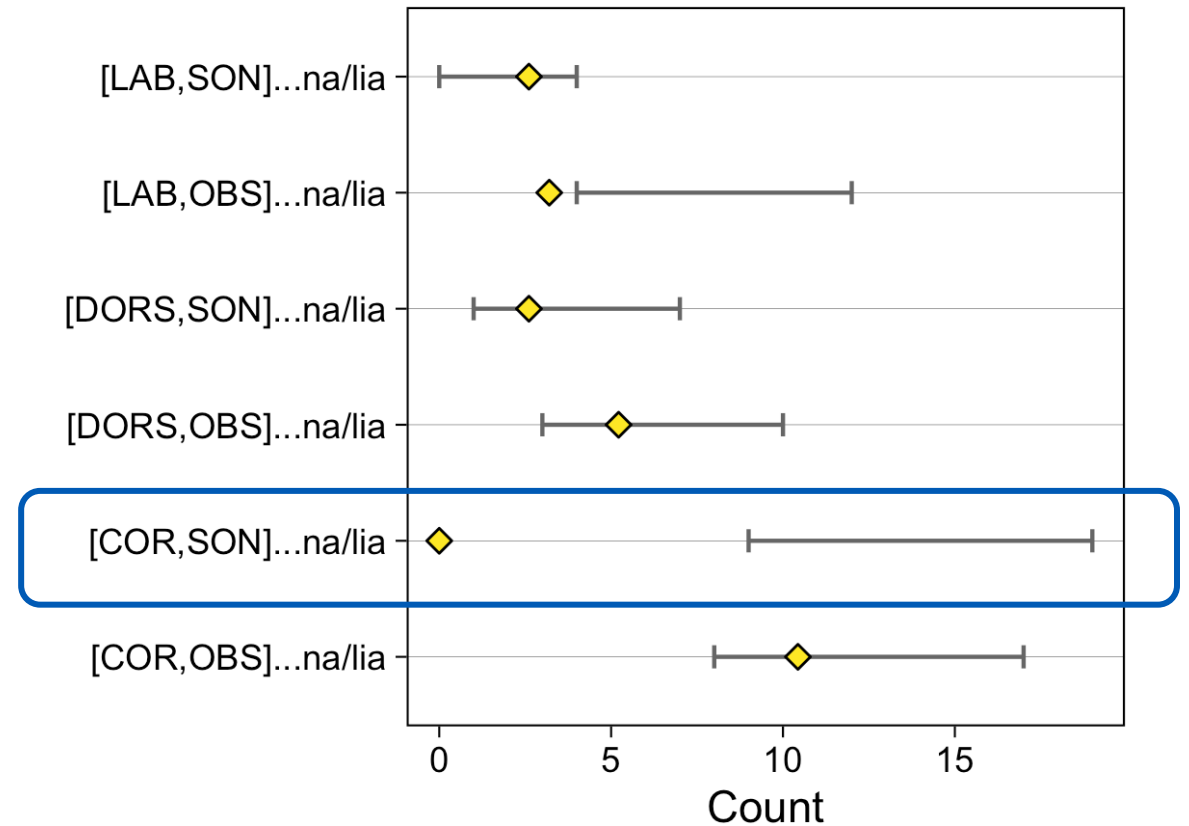


[ilo-na] is underrepresented in Samoan, given the POC distribution.

Monte Carlo simulations

- **Method:** randomly resample POC corpus 10,000 times to arrive at chance-level distribution of each suffix by the preceding consonant.
- **Intervals:** chance-level distribution, given the historical POC data.
-  = observed count in Samoan

Suffixed forms that violate OCP-COR-SON ([ilo-na, ino-na, ino-lia, ilo-lia]) are underrepresented.



Modeling reanalysis in Samoan

- **Goal:** Explicit comparison of frequency-matching vs. markedness-biased models
- **Elements of the model:**
 - **MaxEnt HG:** variant of OT that assigns candidates probabilities (Goldwater and Johnson 2003)
 - **Bias:** implemented as a Gaussian prior (Wilson 2006; White 2013)
 - OCP-place constraint biased to have higher weight than competing constraints.
 - **Iterative:** Predictions of one iteration are input to next iteration (de Boer 2000; Kirby 2001; Brighton 2002; Kirby, Griffiths, & Smith 2014, etc.)
 - **Stem phonotactic grammar** used to derive markedness effects (Hayes and Wilson 2008)

Model reanalysis: inputs

- 500 stems, relative frequencies reflect that of POC protoforms.
- Pooled by...
 - Identity of preceding consonant
 - ignoring effects of final vowel, stem shape, etc.

Note: assumption that thematic consonant underlyingly belongs to the suffix (Hale 1968, 1973)

Example input (+ candidates)

Input

/inu/+ERGATIVE

inu(in)a

inufia

inumia

inutia

inusia

... etc.

Model reanalysis: constraint set

- **Morpheme exponence constraints** (Russell, 1995; Kager, 1996)
 - demand a particular exponent for a particular morphological category
 - e.g. ‘ERG=/tia/’
- **Markedness constraint...**
 - enforcing OCP-place effects

| | ERG=/(in)a/ | ERG=/na/ | ERG=/tia/ | OCP-COR-SON | | |
|-------------|-------------|----------|-----------|-------------|---------------|------|
| | 3 | 0.5 | 0.5 | 0 | \mathcal{H} | P |
| /pili-ERG/ | | | | | | |
| a. pili-a | | 1 | 1 | | 1.00 | 0.86 |
| b. pili-na | 1 | | 1 | 1 | 3.50 | 0.07 |
| c. pili-tia | 1 | 1 | | | 3.50 | 0.07 |

Model reanalysis: constraint set

- **Morpheme exponence constraints** (Russell, 1995; Kager, 1996)
 - demand a particular exponent for a particular morphological category
 - e.g. 'ERG=/tia/'

- **Markedness constraint...**
 - enforcing OCP-place effects
 - **Active markedness:** can OCP-place effects be derived directly from the phonotactics?

| | ERG=/(in)a/ | ERG=/na/ | ERG=/tia/ | OCP-COR-SON | | |
|-------------|-------------|----------|-----------|-------------|---------------|------|
| | 3 | 0.5 | 0.5 | 1 | \mathcal{H} | P |
| /pili-ERG/ | | | | | | |
| a. pili-a | | 1 | 1 | | 1.00 | 0.90 |
| b. pili-na | 1 | | 1 | 1 | 4.50 | 0.03 |
| c. pili-tia | 1 | 1 | | | 3.50 | 0.07 |

Incorporating phonotactic markedness

1. Root phonotactics

[inu]
[tapa]
[manu]
[tai]
...



Phonotactic
grammar

2. Morphophonology

Candidates:

[ilotia]
[ilonja]
[llona]
[ilolia]
...



Phonotactic
grammar



Violations (H)

[ilotia] 0.23
[ilonja] 0
[llona] 1.13
[ilolia] 1.13
...



BePhon C1 C2...

| | | | |
|----------|------|---|---|
| [ilotia] | 0.23 | 1 | 0 |
| [ilonja] | 0 | 0 | 1 |
| [llona] | 1.13 | 0 | 0 |
| [ilolia] | 1.13 | 0 | 0 |
| ... | | | |

Phonotactic grammar

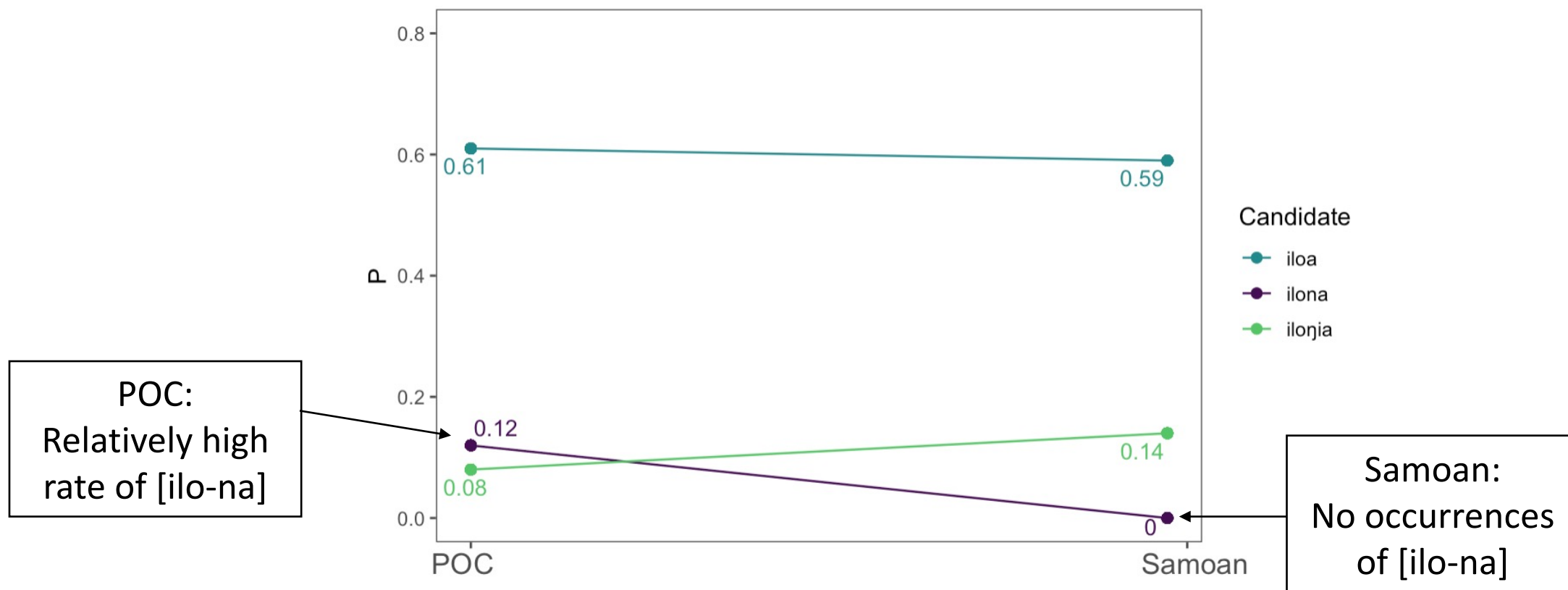
- Two phonotactic grammars:
 - **OCP-PLACE:** constraint set is all possible combinations of OCP-PLACE constraints (OCP-LABIAL, OCP-CORONAL, OCP-DORSAL) with the subsidiary features [sonorant], [voice], and [continuant].
 - **Bigram:** constraint set is all possible consonant bigram combinations
 - *p...p, *p..f, *p...t, etc.

Model results

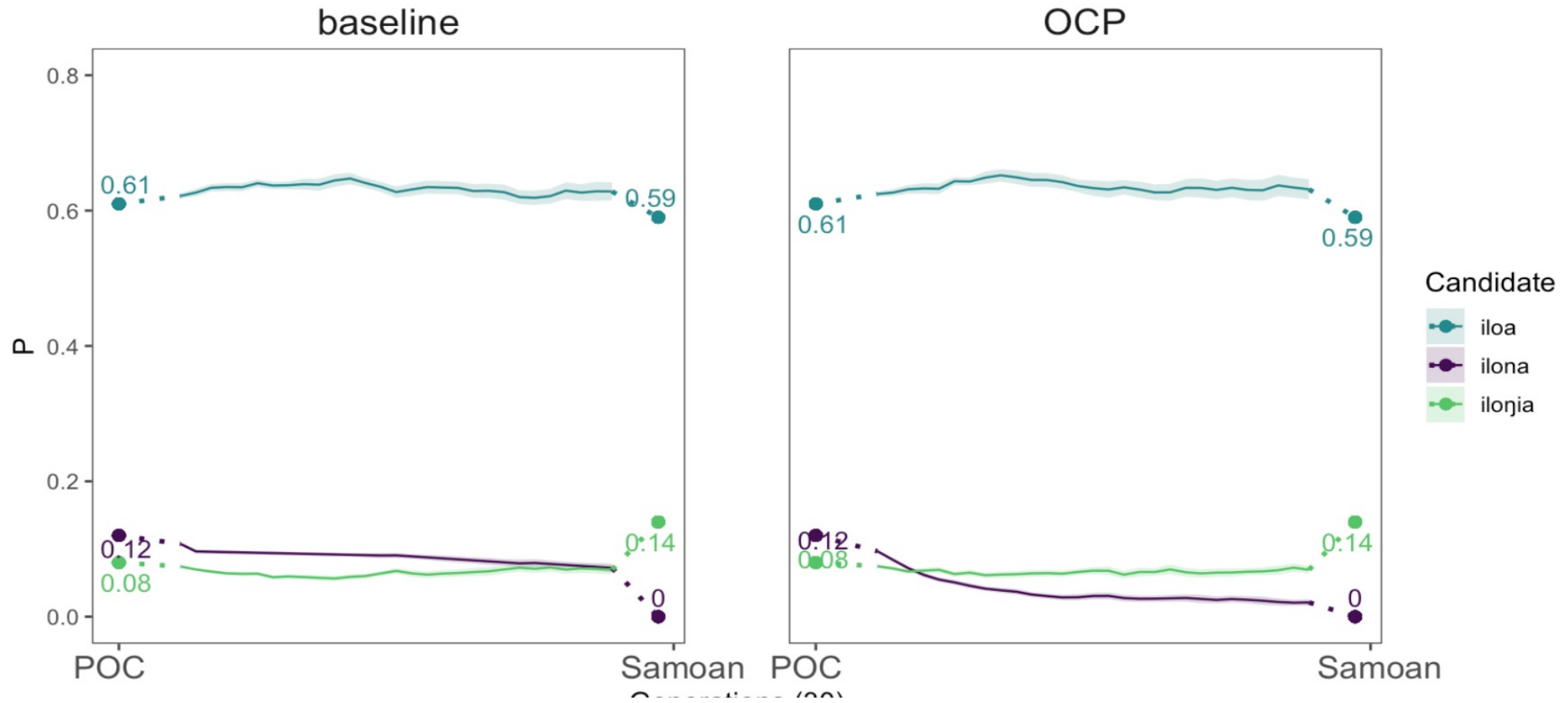
- **Baseline.** no markedness bias
- **OCP.** markedness constraints derived from OCP grammar, biased to have high weight
- **BIGRAM.** markedness constraints derived from bigram grammar, biased to have high weight

| | <i>L</i> | ΔL |
|------------|-----------------|--------------|
| Baseline | -2448.81 | -- |
| Bigram | -2438.39 | 10.42 |
| OCP | -2385.00 | 63.89 |

Schematic pattern for /ilo/ type stems



Model results



Conclusion

- In Samoan, reanalysis shows effects of both frequency-matching and markedness avoidance.
- Not all phonotactic generalizations are picked up by learners.
- Language change can be a **“natural laboratory”** for studying these effects (Kiparsky 1965; 1968; 1978, et seq)

Thank you!

- Thanks to Bruce Hayes, Kie Zuraw, and members of the UCLA Phonology seminar for their many insightful comments.